

(I)(a) Statement showing Shareholding Pattern

Name of the Company : HSBC INVESTDIRECT (INDIA) LTD (FORMERLY KNOWN AS IL&FS INVESTSMART LIMITED)

Scrip Code : BSE : 532653 - NSE: HINVDIR

Dated 31.12.2009

Category code	Category of Shareholder	No. of shareholders	Total No. of shares	No. of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)* 100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	--	--	--	--	--		
(b)	Central Government / State Government (s)	--	--	--	--	--		
(c)	Bodies Corporate	1	34922751	34922751	49.61	49.61		
(d)	Financial Institutions / Banks	--	--	--	--	--		
(e)	Any Other (specify)	--	--	--	--	--		
	Sub-Total (A)(1)	1	34922751	34922751	49.61	49.61	NA	NA
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	--	--	--	--	--		
(b)	Bodies Corporate	1	30625692	30625692	43.50	43.50		
(c)	Institutions	--	--	--	--	--		
(d)	Any Other (specify)	--	--	--	--	--		
	Sub-Total (A)(2)	1	30625692	30625692	43.50	43.50	NA	NA
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	2	65548443	65548443	93.11	93.11		
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds / UTI	2	1251429	1251429	1.78	1.78		
(b)	Financial Institutions / Banks	1	300	300	0.00	0.00		
(c)	Central Government / State Government (s)	--	--	--	--	--		
(d)	Venture Capital Funds	--	--	--	--	--		
(e)	Insurance Companies	--	--	--	--	--		
(f)	Foreign Institutional Investors	2	1440031	1440031	2.05	2.05		
(g)	Foreign Venture Capital Investors	--	--	--	--	--		
(h)	Any Other (specify)	--	--	--	--	--		
	Sub-Total (B)(1)	5	2691760	2691760	3.82	3.82	NA	NA
(2)	Non-institutions							
(a)	Bodies Corporate	248	709143	709143	1.01	1.01		
(b)	Individuals - (i) Individual shareholders holding nominal share capital up to Rs.1 lakh.	8684	859538	852916	1.22	1.22		
	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	10	530913	530913	0.75	0.75		
(c)	Any Other (specify)	--	--	--	--	--		
(ci)	Non Resident Indians	49	11404	11404	0.02	0.02		
(cii)	HUF	329	45265	45265	0.06	0.06		
(ciii)	Director	--	--	--	--	--		
(civ)	Trust	1	1000	1000	0.00	0.00		
(cv)	Clearing Members	15	807	807	0.00	0.00		
	- Foreign Companies	--	--	--	--	--		
	Sub-Total (B)(2)	9336	2158070	2151448	3.07	3.07	NA	NA
	Total Public Shareholding (B)=(B)(1)+(B)(2)	9341	4849830	4843208	6.89	6.89	NA	NA
	TOTAL (A)+(B)	9343	70398273	70391651	100.00	100.00	NA	NA
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
	GRAND TOTAL (A)+(B)+(C)	9343	70398273	70391651	100.00	100.00	NA	NA

(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

SI No.	Name of the shareholder	Total Shares Held		Shares pledged or otherwise encumbered		
		Number	As a % of grand total (A)+(B)+(C)	Number	As Percentage	As a % of grand total (A)+(B)+(C) of sub-clause(I)(a)
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)
1	HSBC SECURITIES AND CAPITAL MARKETS INDIA PVT LTD	34922751	49.61	--	--	--
2	HSBC VIOLET INVESTMENTS (MAURITIUS) LTD	30625692	43.50	--	--	--
	TOTAL	65548443	93.11	NA	NA	NA

(I)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

SI No.	Name of the shareholder	No. of Shares	Shares as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	MATTHEWS INDIA FUND	1439981	2.05
2	DEUTSCHE TRUSTEE SERVICES (INDIA) PRIVATE LIMITED A/C DWS MONEY PLUS ADVANTAGE FUND	849380	1.21
	TOTAL	2289361	3.25

(I)(d) Statement showing details of locked-in shares

SL No	Name of the shareholder	* Category of Shareholders (Promoters/Public)	No. of locked-in shares	Locked-in shares as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1			- N A -	
	TOTAL			

* New Addition in the format (1) (d) of the Clause

(II)(a) Statement showing details of Depository Receipts (DRs)

SI No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of outstanding DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated
				- N A -
	TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

SI No.	Name of the DR Holders	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
				- N A -
	TOTAL			